

## Development and Benchmarking of a new Kinetic Code for Parallel Plasma Transport in the SOL and Divertor

A.V.Chankin<sup>1</sup>, D.P.Coster<sup>1</sup>, G.Meisl<sup>1,2</sup>

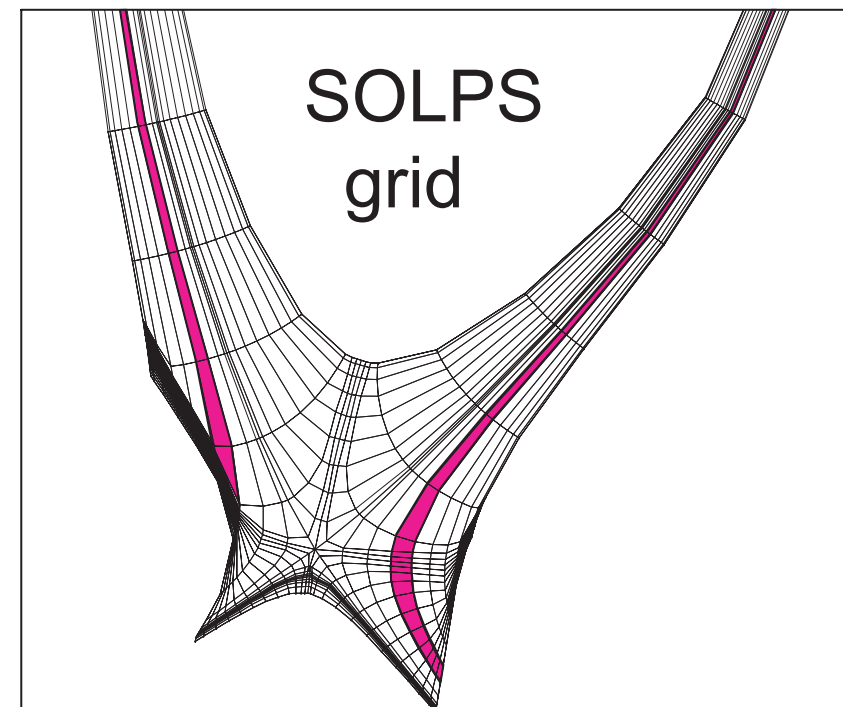
<sup>1</sup>*Max-Planck-Institute for Plasma Physics, Garching, Germany*

<sup>2</sup>*Physics Department of Munich Technical University, Germany*

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- Introduction: basics features of the code
- Coulomb collision operator, E-field force
- Code tests for 0d2v problems
- Parallel heat conduction calculations
- Summary and outlook

- **Basic version: only parallel electron kinetics.** Emphasis on parallel heat flux  $q_{e\parallel}$ .  
Justification:  $\chi_{e\parallel} \gg \chi_{i\parallel}$ , + other reasons... Fluid equations for ions (kinetic – later).
- **1D+ structure.** Solves along **field lines** (now), later - exchange (particle, heat) between flux surfaces. May use SOLPS grid.
- **Perpendicular (radial) transport:** standard B2 treatment using ad-hock transport coefficients  $\chi_{e\perp}$ ,  $\chi_{i\perp}$ ,  $D_{\perp}$ , viscosity etc. (drifts – later; but No ion orbits ! (2D effect)).
- Continuum **Fokker-Planck** code, **1D2V** ( $v_{\parallel}, v_{\perp}$ )
- **Plasma quasi-neutrality** assumed, electron equilibrium along **B** achieved by adjusting  $E_{\parallel}$ ;  
Debye sheath not resolved, “logical sheath condition” at divertor targets
- **Main ions, impurities, interaction with neutrals, plasma-wall interaction:**  
to be handled by SOLPS (Eirene + B2)



- Strategy of kinetics' implementation: build up on available “infrastructure”: preserve integrity of SOLPS by giving it essential control over conservation laws, plasma-neutral interaction etc.
- Kinetic module → SOLPS(B2): realistic  $\chi_{e||}$ , thermoforce coeff., target heat  $\gamma_e$ , ionization/excitation coeff. (use full distribution function  $f_e$  at every step!)

**F** For predicting divertor conditions in next step devices, introducing kinetics is EQUALLY IMPORTANT as preserving State of The Art description of neutrals (Eirene) and other advanced features of SOLPS  $\Rightarrow$  kinetics should rather be *blended* into the existing code.

$$\frac{\partial f_e}{\partial t} + v_{\parallel} \left( \frac{\partial f_e}{\partial s_{\parallel}} \right) + \frac{q_e E_{\parallel}}{m_e} \left( \frac{\partial f_e}{\partial v_{\parallel}} \right) = \left( \frac{\partial f_e}{\partial t} \right)_{\text{coll.}} + \text{sources}$$

- Two velocity variables:  $v_{\parallel}$  and  $v_{\perp}$  - gyro-averaged
- one spatial variable:  $s_{\parallel}$

Work in dimensionless parameters:

$$\tilde{v}_{\parallel} = \frac{v_{\parallel}}{v_o}, \quad \tilde{v}_{\perp} = \frac{v_{\perp}}{v_o}, \quad \text{where } v_o = \sqrt{T_e/m_e} \quad (\text{also } \tilde{w} = \frac{v_{\perp}^2}{2v_o^2} \text{ is used})$$

$$\tilde{t} = \frac{t}{\tau_o}, \quad \text{where } \tau_o = \frac{4\pi v_o^3}{n_o \Lambda_o} \left( \frac{4\pi e^2}{m_e} \right)^{-2} \quad - \text{Trubnikov's "simplest relaxation time",}$$

$$\tilde{s}_{\parallel} = \frac{s_{\parallel}}{v_o \tau_o},$$

$$\tilde{E} = \frac{E_{\parallel}}{E_o}, \quad E_o = \frac{m_e v_o^2}{q_e \tau_o},$$

$$\tilde{f} = f \frac{v_o^3}{n_o}$$

In dimensionless parameters:

$$\frac{\partial \tilde{f}}{\partial \tilde{t}} + \tilde{v}_{\parallel} \left( \frac{\partial \tilde{f}}{\partial \tilde{s}_{\parallel}} \right) - \tilde{E}_{\parallel} \left( \frac{\partial \tilde{f}}{\partial \tilde{v}_{\parallel}} \right) = \left( \frac{\partial \tilde{f}}{\partial \tilde{t}} \right)_{\text{coll.}}$$

- **Operator-splitting scheme** used to separate contributions to  $\frac{\partial \tilde{f}}{\partial \tilde{t}}$  from:

- $\tilde{v}_{\parallel} \left( \frac{\partial \tilde{f}}{\partial \tilde{s}_{\parallel}} \right)$  “free-streaming”

- $-\tilde{E}_{\parallel} \left( \frac{\partial \tilde{f}}{\partial \tilde{v}_{\parallel}} \right)$  parallel E-field force

- $\left( \frac{\partial \tilde{f}}{\partial \tilde{t}} \right)_{\text{coll.}}$  Coulomb collisions



These two can be easily combined in one implicit scheme (see later)

$$\frac{\partial \tilde{f}}{\partial t} = \tilde{C}(\tilde{f}) = -\frac{\partial \tilde{\Gamma}_{\tilde{v}_{\parallel}}}{\partial \tilde{v}_{\parallel}} - \frac{1}{\tilde{v}_{\perp}} \frac{\partial \tilde{\Gamma}_{\tilde{v}_{\perp}}}{\partial \tilde{v}_{\perp}}, \quad \text{where}$$

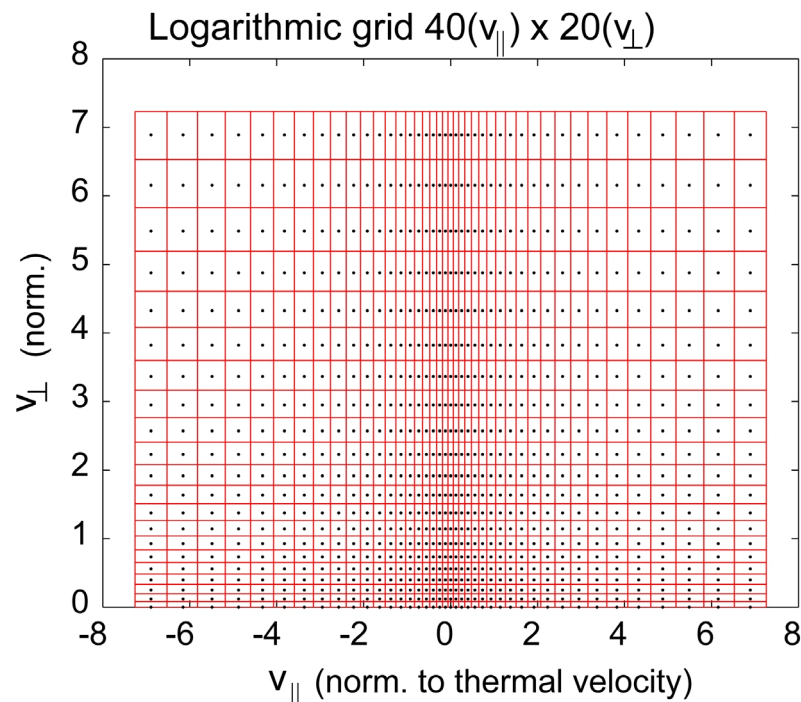
D-coeff. are found by calculating Rosenbluth potentials and their derivatives

$$\begin{cases} \tilde{\Gamma}_{\tilde{v}_{\parallel}} = -\tilde{D}_{\tilde{v}_{\parallel}} \tilde{f} - \tilde{D}_{\tilde{v}_{\parallel} \tilde{v}_{\parallel}} \frac{\partial \tilde{f}}{\partial \tilde{v}_{\parallel}} - \tilde{D}_{\tilde{v}_{\parallel} \tilde{v}_{\perp}} \frac{\partial \tilde{f}}{\partial \tilde{v}_{\perp}} \\ \tilde{\Gamma}_{\tilde{v}_{\perp}} = -\tilde{D}_{\tilde{v}_{\perp}} \tilde{f} - \tilde{D}_{\tilde{v}_{\perp} \tilde{v}_{\parallel}} \frac{\partial \tilde{f}}{\partial \tilde{v}_{\parallel}} - \tilde{D}_{\tilde{v}_{\perp} \tilde{v}_{\perp}} \frac{\partial \tilde{f}}{\partial \tilde{v}_{\perp}} \end{cases}$$

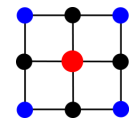
“dynamic friction”

pitch-angle scattering

diffusion



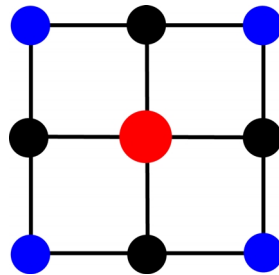
- Logarithmic mesh in  $v_{\parallel}$ ,  $v_{\perp}$  space to fit wide range of  $T_e$ 's
- 9-point stencil discretization scheme
- Implicit solution using MUMPS sparse matrix solver for both Fokker-Planck eq. and two Rosenbluth potentials (Poisson eqs. on 5-pt stencil)  $\Rightarrow$  MUMPS is used 3 times on each time step



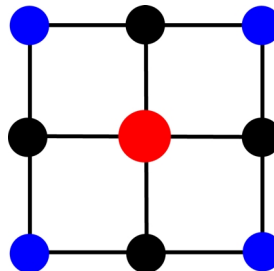
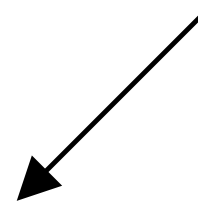
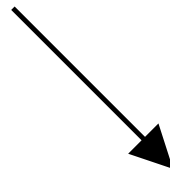


- Maxwellian (also shifted along  $v_{||}$ ) can be well maintained; initial non-Maxwellian distribution relaxes to a Maxwellian; no instabilities seen
- Slow energy drift (loss of energy content due to energy non-conservation in e-e collisions); reduces linearly with increase in the number of velocity grid cells ( $m_{\max}^2$ )  $\rightarrow$  2nd order scheme
- Excellent match with Spitzer electrical conductivity for small  $E_{||}$  and theoretical e-i energy equipartition rate (see later)

9-pt stencil for Coulomb collisions



3-pt stencil for E-field action  
(1<sup>st</sup> order upwind scheme)



can be easily combined in a  
common implicit scheme

**F** During tests on electrical conductivity and runaway electron rate on typical grids in use, performance of the combined scheme was found to be of 2<sup>nd</sup> order (error  $\propto mmax^2$ ), despite E-field action is described by only 1<sup>st</sup> order scheme

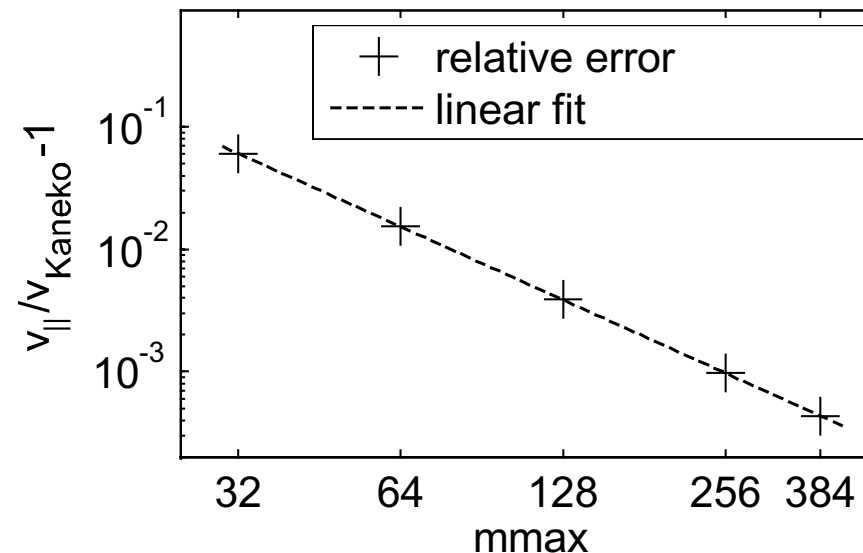
- $\tilde{E} = -0.002$ ,  $\approx 1\%$  of Dreicer's field
- uniform velocity grid;  $v_{\max} = 10$  thermal velocities
- 130 time steps of  $\tau_{ei}$
- full non-linear coll. operator for e-e coll., linear coll. operator – for e-i coll.

Electron velocity  $v_{e\parallel} = -j_{\parallel}/en$ , in dimensionless parameters:  $\tilde{v}_{\parallel} = K \frac{\tilde{T}_e^{3/2}}{\tilde{\Lambda}_c \tilde{n}_e} \tilde{E}$

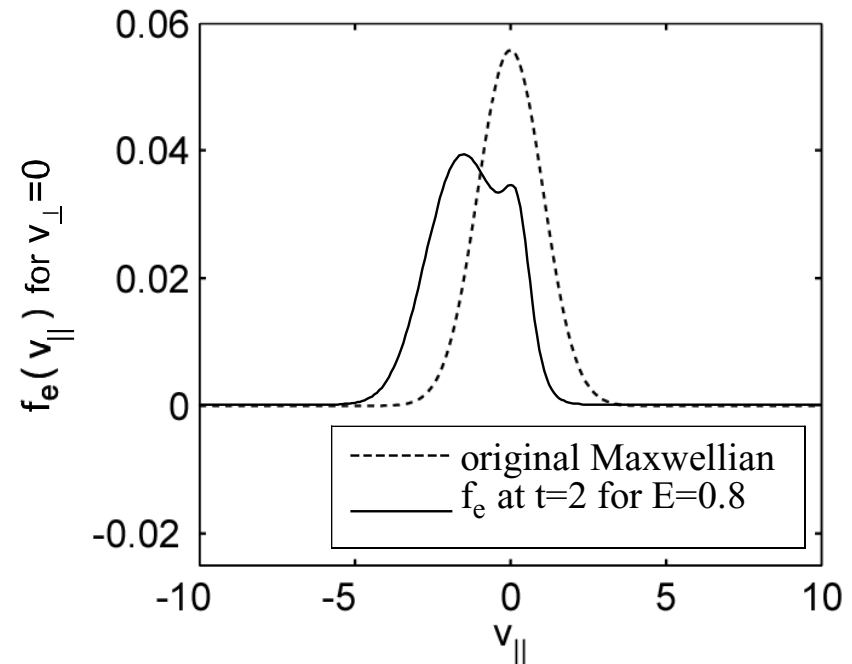
$K = 7.37$  – Braginskii  
 7.343 - Balescu  
 7.425 – Spitzer  
 7.425886 - Kaneko (1978)

- Good convergence to best available results on electrical conductivity with improving grid resolution

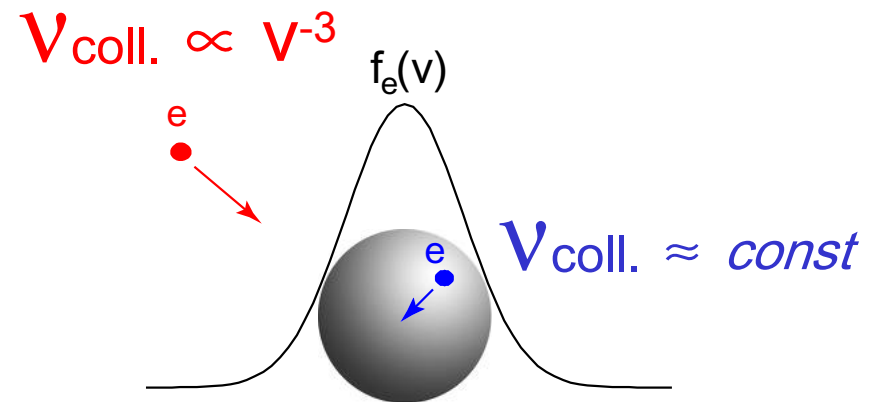
Relative error vs. Kaneko's result



- Subtle feature of doubly peaked  $f_e$ -function (extra peak – near  $v_{\parallel}=0$ , where ions sit) at large  $\tilde{E}_{\parallel}=0.8$  (4×Dreicer’s field) after  $\Delta t = 2\tau_{ei}$



- Ion velocities  $\ll$  electron velocities  $\Rightarrow$  collision frequency for e-i collisions scales as  $v^{-3}$  down to very small values of  $v$ ; Extremely high e-i coll. rate for low energy  $\rightarrow$  electrons “attemp” to create a local Maxwellian around ion velocities

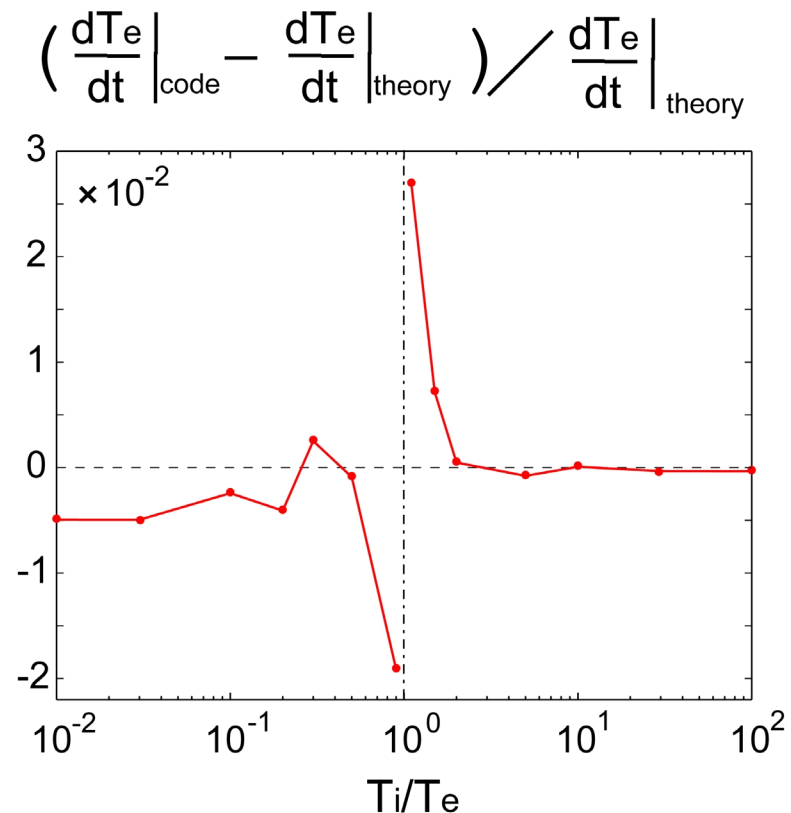


- Uniform velocity grid with  $v_{\max}=10$  electron thermal velocities, 400 cells in  $v_{\parallel}$  ( $-10v_{th}$  to  $+10v_{th}$ ) and 200 cells in  $v_{\perp}$  (400x200 grid)
- Full non-linear Coulomb coll. operator for e-e collisions & linear coll. operator for e-i collisions
- Initial Maxwellian distributions for ions and electrons

Relative deviation from Trubnikov's formula for  $\Delta t=10^{-9} \tau_0$  for different  $T_i/T_e$  ratios

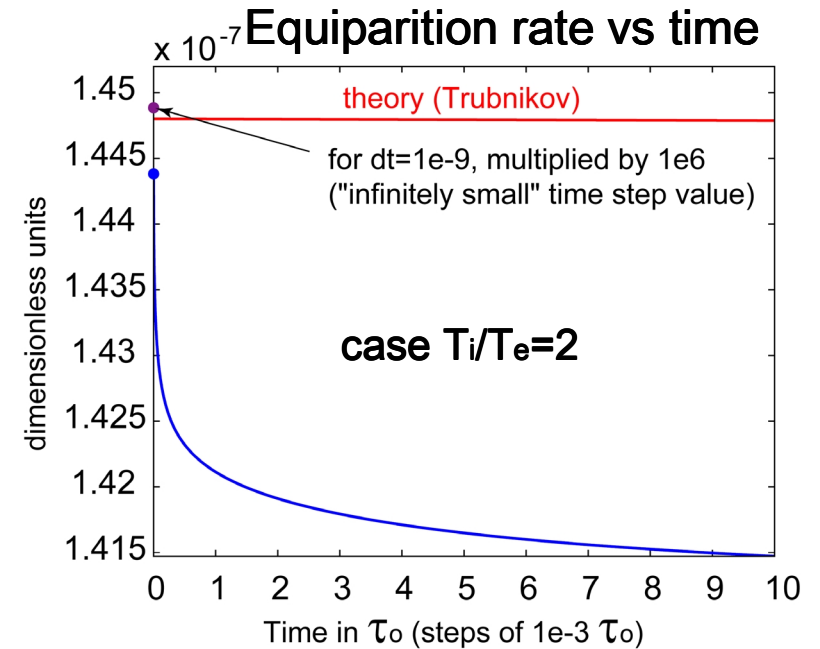
( $\tau_0$  – Trubnikov's "basic relaxation time", used for thermal electrons with  $v_e=\sqrt{(T_e/m_e)}$  colliding with species of mass  $\rightarrow \infty$ )

► Satisfactory agreement with theory



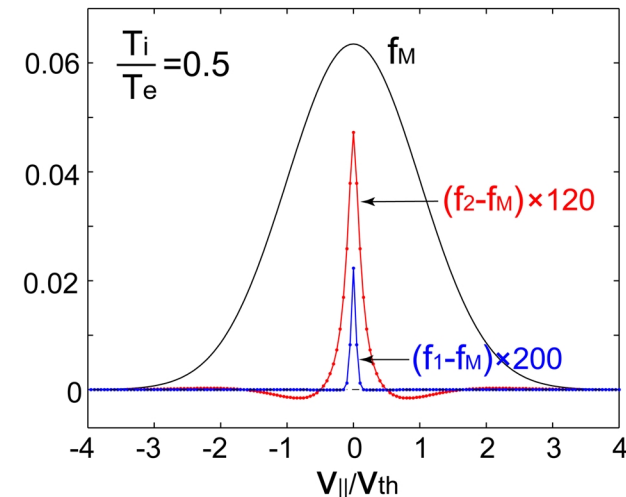
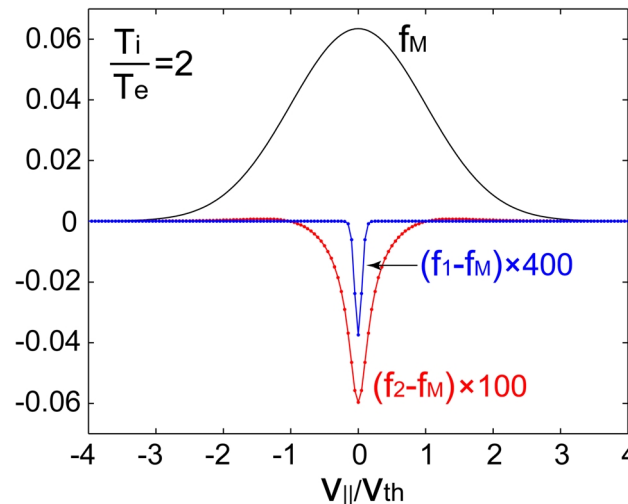
- Excellent agreement with theory for (initially) Maxwellian  $f_e$  and  $f_i$  and smallest time step. However, equipartition rate drops by 2.3% over  $10\tau_0$  (e-i coll. times) compared to theory value, in line with theoretical expectations of large  $f_e$  – distortion at very low electron energies  $\sim T_i/400$  (*Trubnikov, 1965*):

$$\frac{d\varepsilon_\alpha}{dt} = -\frac{2\varepsilon_\alpha}{\tau_1^{\alpha/\beta}(\varepsilon_\alpha)} \left[ \frac{m_\alpha}{m_\beta} \mu(x_\beta) - \mu'(x_\beta) \right], \text{ where } \varepsilon_\alpha = \frac{m_\alpha v_\alpha^2}{2}$$



- Fine structure of  $f_e$  during energy equipartition with ions can be resolved by the code

- $f_1$  –  $f_e$  after  $1e-3 \times \tau_0$
- $f_2$  –  $f_e$  after  $1 \times \tau_0$
- $f_M$  - Maxwellian
- all  $f$ 's – for  $v_\perp=0$



- **Main challenge:** classical (Spitzer-Härm/Bragsinskii) parallel heat conduction is determined by supra thermal particles, e.g., for electrons:  $v_e = 3 - 5\sqrt{T_e / m_e}$  their collisionality is dramatically reduced:

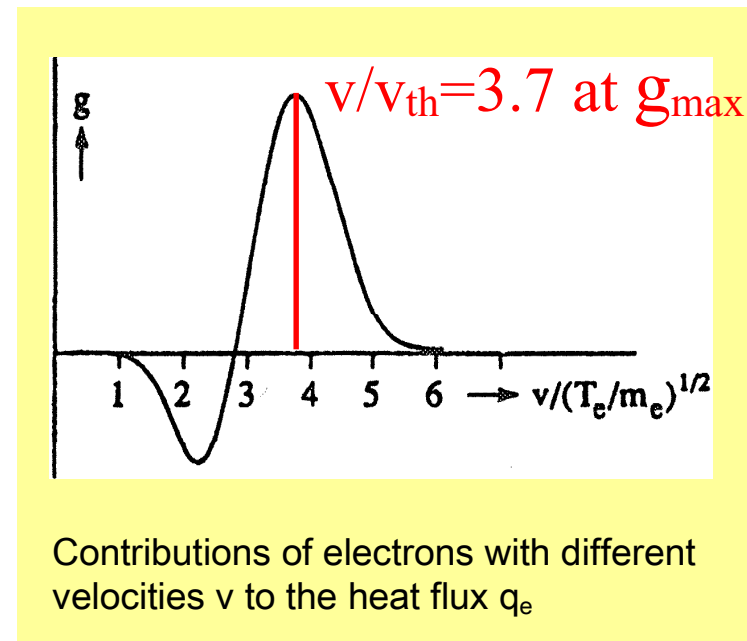
$$\frac{L_{\text{mfp-fast}}}{L_{\text{mfp-thermal}}} \approx \frac{(3.7^2 / 2T_e + 1T_e)^{3/2}}{(3 / 2T_e)^{3/2}} \times \frac{3.7v_{e,th}}{v_{e,th}} \approx 40$$

- at  $v_{e||} \approx 3.7v_{th}$  and thermal  $v_{e\perp}$ :

$$\exp\left(-\frac{m_e v^2}{2T_e}\right) \approx 4 \times 10^{-4}$$

- Fraction of heat-carrying electrons (estimate based on Braginski's  $\chi_{e||}$ ,  $v_{e||} = 3.7v_{th}$ ,  $v_{e\perp} = v_{th}$  and  $\Delta v_{||} = v_{th}$ ): 1/3000

**F** ~400x200 mesh in velocity space is required to adequately resolve  $f_e$ -function in the region of heat-carrying electrons with  $v \sim 4v_{th} \rightarrow 80000$  equations for an implicit scheme  $\Rightarrow$  large CPU time consumption.  
For spatially varying  $T_e$  (e.g. from 100 eV to 1 eV) this number will rise further.



$$q_{e\parallel} = K \frac{\partial T_e}{\partial x} \left[ 1 + \delta_1 \left( \frac{\lambda_e}{2T_e} \frac{\partial T_e}{\partial x} \right)^2 + \delta_2 \left( \frac{\lambda_e^2}{4T_e^2} \frac{\partial^2 T_e}{\partial x^2} \right) + \delta_3 \left( \frac{\lambda_e}{2T_e} \right)^2 \left( \frac{\partial T_e}{\partial x} \right)^{-1} \frac{\partial^3 T_e}{\partial x^3} \right]$$

$$\delta_1 = [7.8(Z+1) + 13.1] \times 10^3$$

$$\delta_2 = [4.88(Z+1) + 7.74] \times 10^3$$

$$\delta_3 = [0.3(Z+1) + 0.45] \times 10^3$$

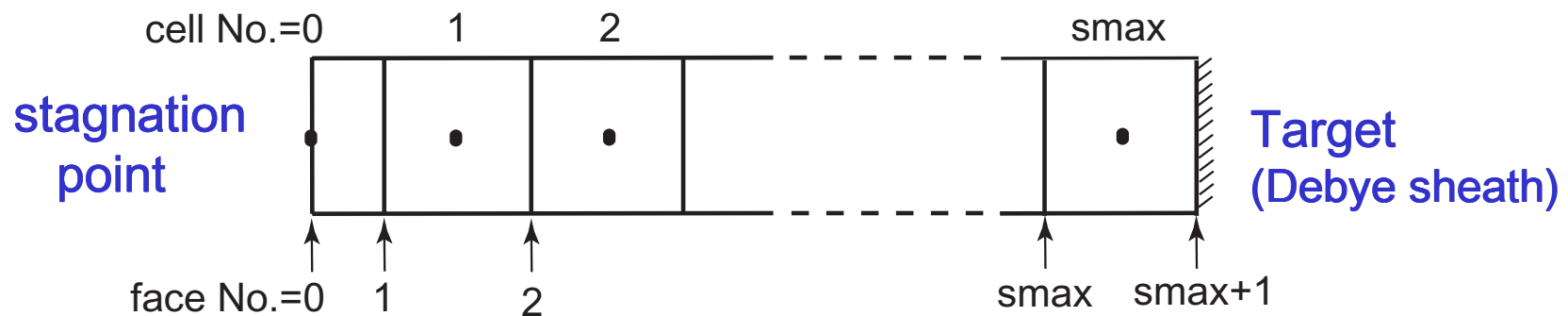
[Luciani & Mora (1986)]

- Large values of  $\delta$ -coefficients imply significant deviations from the linear law:  
 $q_{e\parallel} \propto \nabla_{\parallel} T_e$ , already at rather modest ratios  $L_{\parallel}/\lambda_e \sim 100$



Simple test problem:

- 1d in real space; linear geometry, from stagnation point to target plate, where Debye sheath is formed
- 2d in velocity space - full Coulomb coll. operator
- plasma ambipolarity maintained by adjusting  $E_{\parallel}$
- ion density/temperature constant along  $s_{\parallel}$   $\rightarrow$  “cold electron injection” at target in lieu of ion target sink, in order to create  $\nabla_{\parallel} T_e$



- Operator splitting scheme used to solve 1d2v problem, following Shoucri & Gagne (1978) (also used by Batishchev et al.):
  - $\frac{1}{2} \Delta t$  free-streaming
  - $1 \Delta t$  Coulomb collisions +  $E_{\parallel}$ -field force to kill momentum
  - $\frac{1}{2} \Delta t$  free-streaming
- for the free-streaming, explicit 2<sup>nd</sup> order schemes with upwinding are being tested

- Braginski heat conduction coeff. is obtained for very high collisionalities: very long systems,  $s_{||} \sim 1000 \lambda_{ei}$ , are to be modelled, with  $T_e$  drop by  $\sim 10\%$   
→ very slow profile evolution
- $\chi_{e||}$  was found to depend on  $\Delta t \rightarrow$  long run times required due to smallness of  $\Delta t$  ( $\ll \tau_{ei}$ )

- Presently running on up to 64 processors on Linux cluster of IPP Garching. parallelisation using MPI. Number of spatial positions along field line: 63
- Processor No.0 (host) handles all operations for all spatial cells, except for Coulomb collisions (to be sped up in future by sharing also the free-streaming among processors)
- Coulomb collisions take large fraction of CPU time  $\Rightarrow$  one proc.  $\rightarrow$  one spatial pos. Time mostly consumed by:
  - Solving Fokker-Planck equation
  - 2 Poisson's equations for Rosenbluth potentials (2 potentials)
  - Specifying boundary conditions for Poisson's equations (involves large array multiplications and summations)
  - sparse matrix solver MUMPS (MULTifrontal Massively Parallel sparse direct Solver) is used 3 times for each time step
- 1 time step, when running on 64 processors, for velocity grid 200x400 takes  $\approx$  3 sec

- Basic tests/benchmarks have almost been completed: good results.
- Planning to start coupling it with SOLPS, beginning with simplest 1D geometry, for regimes with moderate  $T_e$  drop from upstream to target; coupling algorithm has yet to be developed